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THE UNDER SECRETARY OF DEFENSE

WASHINGTON, DC 20301



7 AUG 1993

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MEMORANDUM FOR SECRETARIES OF THE MILITARY DEPARTMENTS
CHAIRMAN, JOINT CHIEFS OF STAFF
UNDER SECRETARY OF DEFENSE (POLICY)
DIRECTOR, DEFENSE RESEARCH AND ENGINEERING
ASSISTANT SECRETARIES OF DEFENSE
COMPTROLLER
DIRECTOR, STRATEGIC DEFENSE INITIATIVE
ORGANIZATION
DIRECTOR, DEFENSE ADVANCED RESEARCH PROJECT AGENCY
DIRECTOR, DEFENSE NUCLEAR AGENCY
DIRECTOR, DEFENSE LOGISTICS AGENCY
DIRECTOR, NATIONAL SECURITY AGENCY
DIRECTOR, ON-SITE INSPECTION AGENCY
DIRECTOR, DEFENSE INTELLIGENCE AGENCY

SUBJECT: Planning Guidance for START Treaty Compliance (U)

(U) Consistent with the 9 Feb 90 SECDEF memo, "Planning for Compliance with a START Treaty", this memorandum with attachments provides further guidance for DoD planning for compliance with a START Treaty and authorizes actions (with appropriate coordination) necessary to permit compliance should the Treaty enter into force as early as 1991. In addition, actions necessary to permit protection of U.S. facilities subject to START On-site Inspection (OSI) are authorized. This guidance applies to the OSD, the Military Departments, the Joint Staff, and the Defense Agencies.

(U) The START Treaty for the purpose of this instruction is the position stated by the US in the Joint Draft Text of the proposed Treaty between the USA and the USSR on the Reduction and Limitation of Strategic Offensive Arms and includes the Treaty, Inspection Protocol, Elimination Protocol, Throw-weight Protocol, and Memorandum of Understanding.

(U) Consistent with reference, USD(A) has primary responsibility for assuring the ability of the DoD to comply with the START Treaty upon its entry into force. The addressees of this memorandum are responsible for implementing compliance within their organizations. For matters of security policy review, general arms control policy and interaction with representatives of the Soviet Union, including military and civilian personnel, USD(P) has primary responsibility.

The Air Force, Navy, and Army have lead responsibility for funding, contracting, and ensuring Treaty compliance with

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respective U.S. solid rocket motor producers listed in attachment
1. The Air Force will also have responsibility for final assembly facilities of U.S. mobile ICBM launchers, if they become part of the START agreement. These commercial facilities will receive appropriate support from the military services, OSIA, and agencies at the contractor sites. Responsibilities will be assigned as required for contractors which have yet to be identified as an inspected site under START.

(S) Procedures for protection of sites subject to Suspect Site Inspections (SSI) are currently under review within DoD and interagency policy forums. Guidance in this area will be provided when it becomes available.

Attachments:

1. U.S. Solid Rocket Motor Producers and their Responsible Service (U)
2. Planning Guidance for Implementation of the Start Treaty (S)

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US SOLID ROCKET MOTOR PRODUCERS
AND THEIR RESPONSIBLE SERVICE

COMPANY	RESPONSIBLE SERVICE
Thiokol, Space Ops, Promontory	Air Force
Thiokol, Strat Ops, Promontory	Air Force
Hercules, Bacchus East, Magna	Navy
Hercules, Bacchus West, Magna	Navy
United Technologies, Coyote	Air Force
Aerojet, Sacramento	Air Force
Morton Thiokol, Huntsville	Army
Morton Thiokol, Marshall	Army
Morton Thiokol, Elkton	Air Force
Indian Head, Md	Navy
Hercules, Allegany	Navy
Atlantic Research, Camden	Navy
Atlantic Research, Gainesville	Navy
Hercules, Radford	Army
Hercules, McGregor	Navy
Talley Industries, Phoenix	Air Force
Universal Propulsion, Phoenix	Air Force

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PLANNING GUIDANCE FOR IMPLEMENTATION OF THE START TREATY (U)

A. Schedule and Tasking (U)

(S) DoD is to begin to plan for implementation of a START Treaty to permit the Department to be ready to comply by early 1991. The comprehensive plan from each Service, the Joint Chiefs of Staff, OSD, and the Defense Agencies should include:

(U) 1. An internal compliance process similar to that described in DoD Instruction S-5100.72 and DoD Directive 5100.70.

(U) 2. Identification of each organization's obligations under each provision of the Treaty and a plan to permit compliance with these obligations.

(U) 3. Inspection and protection plans for DoD and DoD contractor facilities subject to OSI.

(U) 4. Identification of actions required prior to entry into force to comply with the Treaty.

B. Reporting Requirements (U)

(U) Compliance implementation plans will be submitted through DDRE/SAC&C to USD(A) for DoD review and approval. Additionally, compliance implementation progress reports will be submitted monthly through DDRE/SAC&C to USD(A). The first iteration of compliance implementation plans will be submitted through DDRE/SAC&C to USD(A) for review 30 days after treaty signature.

C. Funding (U)

(S) Affected components must provide FY 1990 funding to cover any costs of near-term efforts to assure compliance with an early 1991 START Entry Into Force. If efforts cannot be accommodated within available funding or below threshold reprogramming action, components must identify specific requirements and appropriate funding levels by program element, appropriation and/or line item, as appropriate, as soon as possible in order that reprogramming action can be initiated within FY 1990. Proposed reprogramming actions must include specific funding sources sufficient to cover the required treaty compliance resources.

(U) USD(A), Program Analysis and Evaluation (ASD/PA&E), and Comptroller will address the requirement for FY 1991 funds based on inputs from the affected components. The FY 1991 funding requirement will also need to identify specific program elements, appropriation and line items, as appropriate, in order to facilitate appropriation of funds by Congress. FY 1991 funding requirements will have to be accompanied by offsets equal to the funding required for treaty compliance. Every effort should be

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made to identify FY 1991 funding requirements during the congressional markup process which is ongoing and will conclude in late summer. Alternatively, the FY 1991 funding requirement will be addressed through the reprogramming process. The requirements for requesting FY 1991 funds will be developed separately.

(U) Funding requirements for FY 1992 and beyond will be addressed in the POM/Budget process. The Service and DoD Agency programs will be reviewed during the normal PPBS process. Affected components should have programed treaty requirements in the components' POM submissions forwarded to OSD. POM submissions will be reviewed and shortfalls will be dealt with through the POM issue paper process.

D. Definitions and Interpretations (U)

(U) The Treaty and its definitions are the primary basis for addressing questions of compliance. In cases where clarification is required, guidance should be requested.

E. Internal Compliance Process for Services/DoD Agencies (U)

(U) The Service Secretaries, JCS, and DoD agencies should plan internal compliance processes and START monitoring elements similar to the procedures and direction established in DoD Instruction S-5100.72 and DoD Directive 5100.70. Responsibilities are to be as defined in those documents.

F. Elimination/Conversion Planning (U)

(U) The Joint Staff and OSD have primary responsibilities for planning for the draw-down of US forces to meet the START limits and timetables.

~~187~~ The Air Force (with appropriate JCS and CINC involvement) has primary responsibility for planning and accomplishing the elimination/conversion/storage of the Minuteman II, Minuteman III, and Peacemaker systems/launchers, the B-52 and B-1B bombers, and all items associated with those systems which are subject to elimination. Similarly, the Navy has primary responsibility for the elimination/conversion/storage of Poseidon and Trident SSBNs, SLBMs, and any associated items as required by the Treaty.

(U) Service and Agency plans should identify elimination, conversion, and storage sites and include procedures for elimination and conversion activities. The selection of elimination sites should include protection considerations. OUSD(A) will oversee Service activities with regard to environmental assessments of the START Treaty and its requirements.

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G. Notification Planning/Data Management (U)

(U) The Air Force, Navy, OSIA, and other appropriate agencies will establish internal procedures and data systems for the notification of their actions required by the START Treaty, in accordance with DoD notification procedures to be developed by OUSD(A) and the Joint Staff. The Joint Staff and OUSD(A) will jointly define the requirements for a START central data system, if needed, to provide notification from the Services to the NRRC and to interface among appropriate agencies. The specific agency to establish and maintain a START central data system will be designated at a later time.

(U) Joint Staff will oversee the maintenance of the MOU data base and will coordinate with cognizant agencies to ensure that MOU changes are updated and reported as required by the Treaty.

H. Review of DoD Production, Test and Deployment Programs for Compliance with the Treaty (U)

(U) DoD Services and Agencies will plan an internal monitoring process consistent with guidance in Section E of this document for the review of all appropriate programs for compliance. As required, they will request USD(A) approval whenever any activity raises a question of compliance.

I. Inspections (U)

(U) OSIA will develop plans for undertaking inspections in the USSR, and escorting Soviet inspectors in the US pursuant to DoD Directive TS-5134.2.

(U) (For Declared Facilities) The Services, JCS, and DoD agencies will identify US facilities subject to OSI under START and develop inspection compliance plans for each facility under their control. Contractor facility inspection plans will be submitted to USD(A) by Services. These plans will be reviewed by DoD under USD(P) lead for protection and under USD(A) lead for compliance.

(U) (For Undeclared Facilities) Procedures for protection of sites subject to Suspect Site Inspections (SSI) are currently under review within DoD by a special task force and also within interagency policy forums. Additional guidance will be provided.

J. Protection (U)

(U) Under the lead of USD(P), and with other DoD security organizations and affected DoD agencies, the Services, and DoD agencies will develop protection plans for their facilities. Contractors impacted by the START Treaty will submit security plans through the DoD Services or agencies which have tasked them. Their plans will be reviewed by DoD under USD(P) lead for protection and under USD(A) lead for compliance.

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K. Equipment to Support OSI (U)

(U) OSIA is responsible for U.S. procurement of verification equipment to support on-site inspections in the Soviet Union.

(U) DNA is the executive agent for DDRE for DoD research and development of the equipment to support on-site inspections.